

Investor Complaints Data of Ashlar Securities Pvt. Ltd. including all Exchanges and Depository

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites on every month ending as per SEBI circular no SEBI/HO/MIRSD/DOP/P/CIR/2021/676 and SEBI/HO/MIRSD/MIRSD-PoD1/P/CIR/2025/22 (dated: 21/02/2025).

For the month -Oct 2025

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time ^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	1	0	1	0		0
2	SEBI (SCORES 2.0)	0	0	0	0	0		0
3	Stock Exchanges	0	0	0	0	0		0
4	Depository	0	0	0	0	0		0
5	Other Sources (if any)	0	0	0	0	0		0
6	Grand Total	0	1	0	1	0		

Trend of monthly disposal of complaints

2025-26

SN	Month	Broker				Depository			
		Carried forward from previous month	Received	Resolved*	Pending**	Carried forward from previous month	Received	Resolved*	Pending**
1	Apr-25	0	3	3	0	0	0	0	0
2	May-25	0	0	0	0	0	0	0	0
3	Jun-25	0	1	1	0	0	0	0	0
4	Jul-25	0	0	0	0	0	0	0	0
5	Aug-25	0	0	0	0	0	0	0	0
6	Sep-25	0	0	0	0	0	0	0	0
7	Oct-25	0	0	0	0	0	0	0	0
8	Nov-25								
9	Dec-25								
10	Jan-26								
11	Feb-26								
12	Mar-26								
	Grand Total		4	4	0		0	0	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	F.Y. Year (April-March)	Broker				Depository			
		Carried forward from previous year from previous month	Received during the year	Resolved during the year	Pending at the end of the year	Carried forward from previous year from previous month	Received during the year	Resolved during the year	Pending at the end of the year
1	2020-21	0	4	4	0	0	0	0	0
2	2021-22	0	11	9	2	0	0	0	0
3	2022-23	2	9	11	0	0	2	2	0
4	2023-24	0	21	21	1	0	0	0	0
5	2024-25	1	13	14	0	0	3	3	0
5	2025-26	0	4	4	0	0	0	0	0
	Grand Total		62	63	3	0	5	5	0